## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## In re PATENT APPLICATION of

Inventor

HETE et al.

Appln. No.

Not Yet Assigned (CIP of Appln. No. 10/135,260)

Conf. No.:

Unknown

Filed:

April 16, 2004

Title:

INSUFFLATION SYSTEM AND METHOD

Group Art Unit

Unknown

Examiner

Unknown

Docket No.

98-13 C3

April 19, 2004

## INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Alexandria, VA 22313-1450

Sir:

Attached is a Form PTO-1449 listing the enclosed documents.

This Information Disclosure Statement is being filed within 3 months of the filing date of this application.

Any copy of the items listed on the enclosed Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in Application Nos. 10/135,260, filed April 30, 2002, now U.S. Patent no. 6,722,362; 09/596,389, filed June 16, 2000, now U.S. Patent no. 6,439,228; and 09/453,303,

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on <u>April 19, 2004</u> with sufficient postage as "Express Mail Post Office to Addressee" in an envelope addressed to:

Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Express Mail Label No. <u>EL 997387633 US</u>.

Michael W. Haas, Reg. No. 35,174

HETE et al. -- Appln. No.: Not Yet Assigned

CIP of Appln. No. 10/135,260

filed December 2, 1999, now U.S. Patent no. 6,102,042, of which the present application relies upon for an earlier filing dated under 35 U.S.C. § 120. Therefore, no such copy is required to be submitted by the applicant with this Information Disclosure Statement per 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

Consideration of the foregoing and enclosures plus the return of a copy of the herewith Form PTO-1449 with the Examiner's initials in the left column per M.P.E.P. § 609 along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,

Michael W. Haas

Reg. No.: 35,174

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RESPIRONICS, INC. 1010 Murry Ridge Lane Murrysville, PA 15668-8525 1-800-638-8208

PTO/SB/08 F	orm (RESPIRONICS Ver	rsion)		Application No.	Not Yet Assigned
				Filing Date	April 19, 2004
IN	<b>FORMATION</b>	DIS	CLOSURE	Inventor	HETE et al.
s <sup>-</sup>	STATEMENT BY APPLICANT			Confirmation No.	Unknown
				Group Art Unit	Unknown
Date	April 19, 2004			Examiner:	Unknown
Sheet	1	of	3	Attorney Docket No:	98-13 C3

	U.S. PATENT DOCUMENTS								
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where				
Initials	No.	Number-Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear				
	Α	3,788,326	01-1974	Jacobs					
	В	4,417,573	11-1983	De Vries					
	С	4,488,548	12-1984	Agdanowski					
	D	5,088,486	02-1992	Jinotti					
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	Р	5,606,968	03-1997	Mang					

	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	Т	
	No.	Country Code-Number-Kind Code	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear		
						L	
				* ** .		-	

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Examiner Signature	Date Considered	

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609. Draw a line through the citation if not in conformance and not considered. Per MPEP § 609, include a copy of this form with the next communication to the Applicant.

PTO/SB/08 I	Form (RESPIRO	NICS Version)		Application No.	Not Yet Assigned	
				Filing Date	April 19, 2004	
IN	<b>IFORMAT</b>	TION DIS	CLOSURE	Inventor	HETE et al.	
S <sup>.</sup>	STATEMENT BY APPLICANT			Confirmation No.	Unknown	
				Group Art Unit	Unknown	
Date	April 19, 20	004		Examiner:	Unknown	
Sheet	2	of	3	Attorney Docket No:	98-13 C3	

	U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials	No.	Number-Kind Code (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
	Q	3,628,532	12-21-1971	Magrath			
	R	4,520,812	06-04-1985	Feritag et al.			
	S	4,646,733	03-03-1987	Stroh et al.			
	Т	5,036,847	08-06-1991	Boussignac et al.			
	U	5,626,131	05-1997	Chua et al.			
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	W	5,730,123	03-1998	Lorenzen et al.			
	Х	5,740,796	04-1998	Skog			
	Υ	5,788,680	08-1998	Linder			
	Z	6,102,042	09-2000	Hete et al.			

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns,	Т		
Initials No.		Country Code-Number-Kind Code	MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear			
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Examiner	Date .	
Signature	Considered	

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PTO/SB/08	Form (RESPIRO	NICS Version)		Application No.	Not Yet Assigned	
				Filing Date	April 19, 2004	
IN	NFORMA	TION DIS	CLOSURE	Inventor	HETE et al.	
l s	STATEMENT BY APPLICANT			Confirmation No.	Unknown	
				Group Art Unit	Unknown	
Date	April 19, 2004		Examiner:	Unknown		
Sheet	3	of	3	Attorney Docket No:	98-13 C3	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Cite No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	AA	Alexander B. Adams MPH RPT, "Tracheal Gass Insufflation (TGI)," Respiratory Care, Vol. 41No. 4, 1996, pages 285-291					
	ВВ	John J. Marini et al., "Physiological Basis of Ventilatory Support," Library of CongressCatagloging-in-Publication Data, 1998, pages 1021-1045					
	СС	John J. Marini, "Tracheal GasInsufflation: A Useful Adjunct to Ventilation?", Thorax, Vol. 491994, pages 735-737					
	DD	G. Nakos et al., "Tracheal Gas Insufflation Reduces the Tidal Volume While PaCo2 isMaintained Constant," Intensive Care Med., Vol. 20, 1994, pages 407-413					
	EE	Sue A. Ravenscraft MD, "Tracheal Gas Insufflation: Adjunct to Conventional Mechanical Ventilation,: Respiratory Care, Vol. 41 No. 2, 1996, pages 105-111					

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Examiner	Date	
Signature	Considered	

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